

A Generalization of the Łoś-Tarski Preservation Theorem

Ph.D. defence

Abhisekh Sankaran

Supervised by
Bharat Adsul and Supratik Chakraborty

Dept. of CSE, IIT Bombay
July 19, 2016

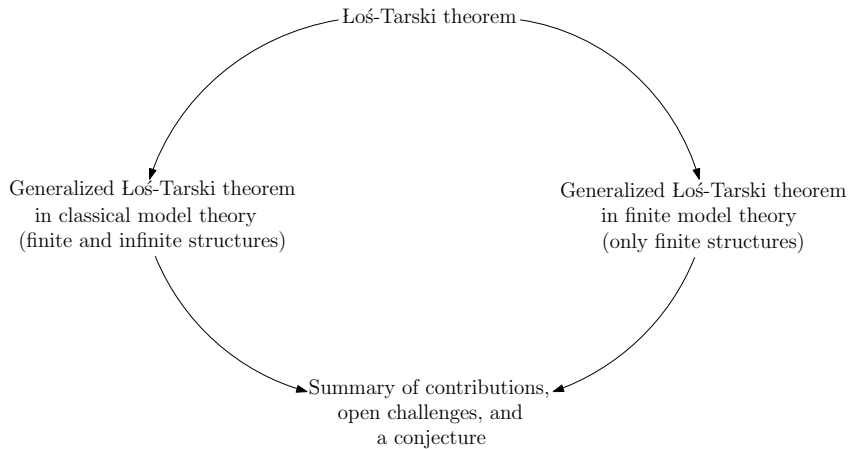
Introduction

- Classical model theory studies the relationship between the properties of definable classes of structures and the properties of their defining formulae.
- A preservation theorem characterizes (definable) classes of structures closed under a given model theoretic operation.
- Preservation under substructures – the Łoś-Tarski theorem.
- Connections of the Łoś-Tarski theorem with various mathematical disciplines
- Inspired the whole area of preservation theorems, and was amongst the earliest applications of Gödel's compactness theorem and the downward Löwenheim-Skolem theorem.

Introduction (Contd.)

- Finite model theory has similar aims as classical model theory but concerns itself with only finite structures.
- Unfortunately, most preservation theorems fail in the finite. This includes the Łoś-Tarski theorem.
- Recent research (by Atserias, Dawar, Grohe, Kolaitis) has focussed on “recovering” preservation theorems by considering classes of finite structures having good algorithmic properties.
- These include classes of bounded degree, those that are acyclic and more generally of bounded tree-width, and turn out to be “well-behaved” model-theoretically as well.
- Investigating such well-behavedness is an active and current line of research.

Talk outline



Some assumptions and notation for the talk

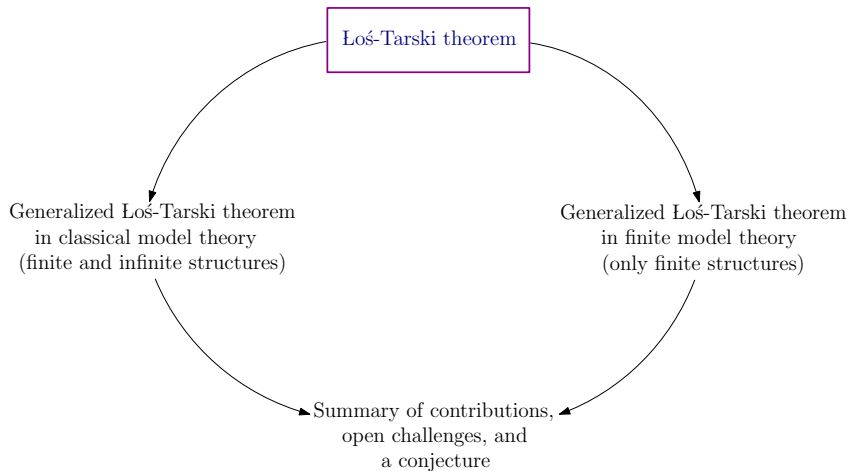
Assumptions:

- First order (FO) logic.
- Relational vocabularies (i.e. only predicates).

Notations:

- $\forall^* = \forall x_1 \dots \forall x_n$ (quantifier-free formula in x_1, \dots, x_n)
- $\exists^k \forall^* = \exists x_1 \dots \exists x_k \forall y_1 \dots \forall y_n$
(quantifier-free formula in $x_1, \dots, x_k, y_1, \dots, y_n$)
- $\Sigma_2 = \bigcup_{k \geq 0} \exists^k \forall^*$
- Similarly, $\exists^*, \forall^k \exists^*$ and Π_2
- $\mathcal{A}_1 \subseteq \mathcal{A}_2$ means \mathcal{A}_1 is a substructure of \mathcal{A}_2 . For graphs, \subseteq means *induced subgraph*.
- $U_{\mathcal{A}}$ = universe of \mathcal{A} .

Talk outline



Classical preservation properties

Definition

A sentence φ is said to be **preserved under substructures**, denoted φ is *PS*, if $((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A})) \rightarrow \mathcal{B} \models \varphi$.

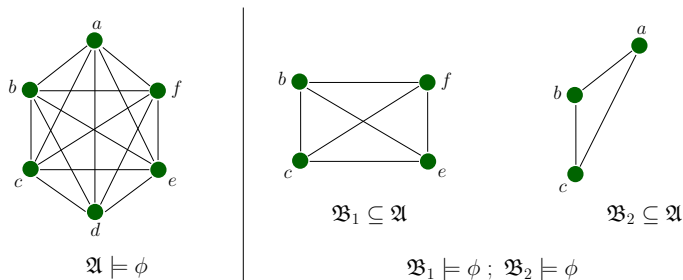
- E.g.: $\varphi = \forall x \forall y E(x, y)$ describing the class of cliques, is *PS*.

Classical preservation properties

Definition

A sentence φ is said to be **preserved under substructures**, denoted φ is *PS*, if $((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A})) \rightarrow \mathcal{B} \models \varphi$.

- E.g.: $\varphi = \forall x \forall y E(x, y)$ describing the class of cliques, is *PS*.



Classical preservation properties

Definition

A sentence φ is said to be **preserved under substructures**, denoted φ is *PS*, if $((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A})) \rightarrow \mathcal{B} \models \varphi$.

- E.g.: $\varphi = \forall x \forall y E(x, y)$ describing the class of cliques, is *PS*.
- In general, every \forall^* sentence is *PS*.

Classical preservation properties

Definition

A sentence φ is said to be **preserved under substructures**, denoted φ is *PS*, if $((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A})) \rightarrow \mathcal{B} \models \varphi$.

- E.g.: $\varphi = \forall x \forall y E(x, y)$ describing the class of cliques, is *PS*.
- In general, every \forall^* sentence is *PS*.

Definition

A sentence φ is said to be **preserved under extensions**, denoted φ is *PE*, if $\neg\varphi$ is *PS*.

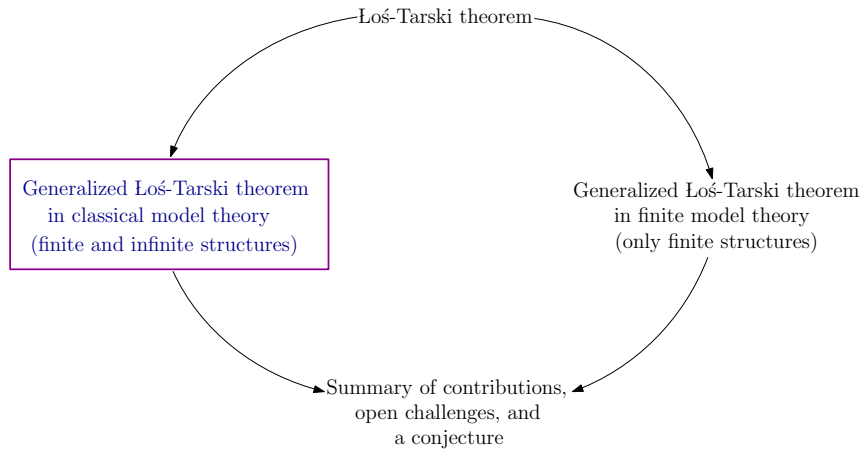
- *PS* and *PE* are dual properties. Every \exists^* sentence is *PE*.

The Łoś-Tarski theorem: LT

Theorem (Łoś-Tarski, 1954-55)

- 1 A sentence is *PS* iff it is equivalent to a \forall^* sentence.
- 2 A sentence is *PE* iff it is equivalent to an \exists^* sentence.

Talk outline



New parameterized generalizations
of the classical properties

Preservation under substructures modulo k -cruxes

Definition

A sentence φ is said to be **preserved under substructures modulo k -cruxes**, abbreviated **φ is $PSC(k)$** , if for each model \mathcal{A} of φ , there is a subset C of $U_{\mathcal{A}}$, of size $\leq k$, s.t.

$$((\mathcal{B} \subseteq \mathcal{A}) \wedge (C \subseteq U_{\mathcal{B}})) \rightarrow \mathcal{B} \models \varphi$$

- The set C is called a **k -crux of \mathcal{A} (w.r.t. φ)**.
- Easy to see that

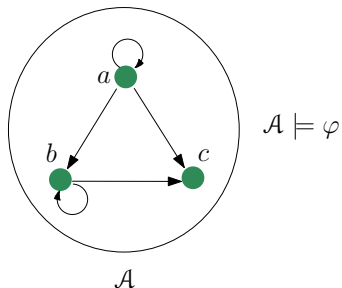
$$PS = PSC(0)$$
$$PSC(0) \subseteq PSC(1) \subseteq PSC(2) \subseteq \dots$$

An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.

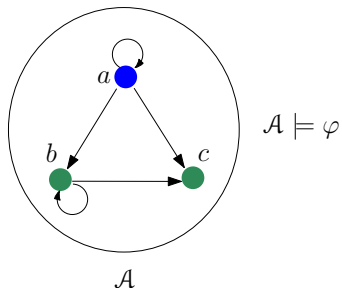
An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



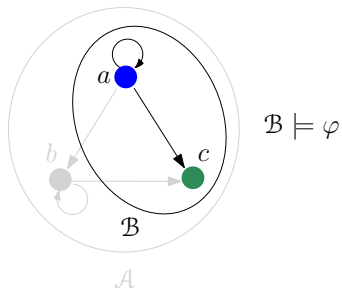
An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



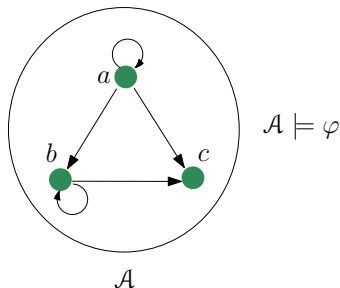
An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



An example

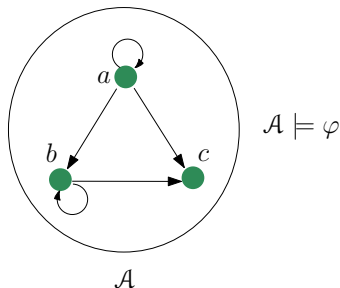
- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.

An example

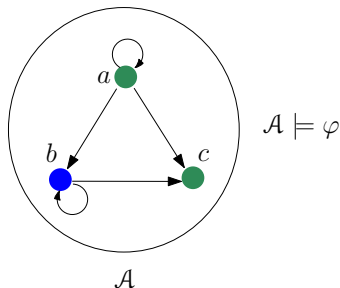
- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .

An example

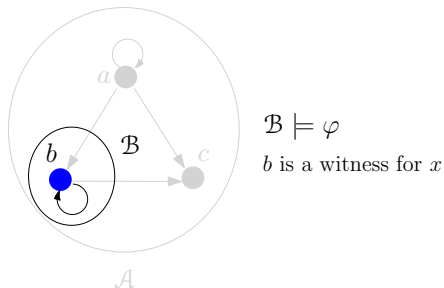
- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .

An example

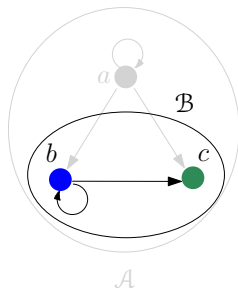
- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .

An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



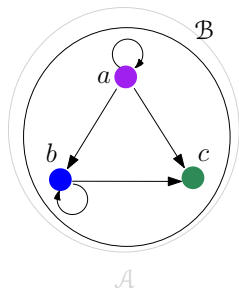
$\mathcal{B} \models \varphi$

b is a witness for x

- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .

An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



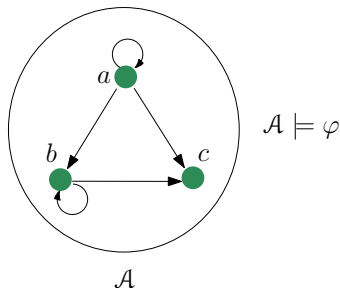
$\mathcal{B} \models \varphi$

a is a witness for x

- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .

An example

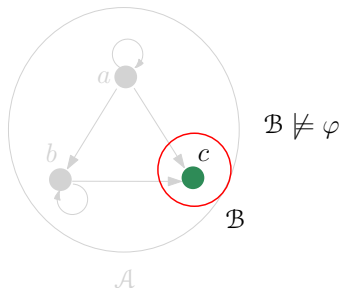
- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is *PSC*(1).
- There can be 1-cruxes that are not witnesses for x .
- Observe that φ is not *PS*.

An example

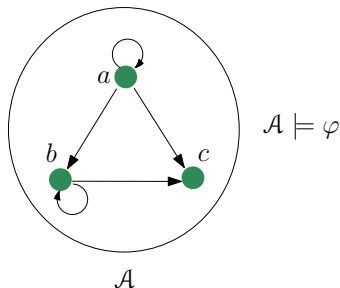
- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .
- Observe that φ is not PS . Then $PS \subsetneq PSC(1)$.

An example

- Eg. Consider $\varphi = \exists x \forall y E(x, y)$.



- Any witness for x is a 1-crux. Thus φ is $PSC(1)$.
- There can be 1-cruxes that are not witnesses for x .
- Observe that φ is not PS . Then $PS \subsetneq PSC(1)$.
- More generally, $PSC(0) \subsetneq PSC(1) \subsetneq PSC(2) \subsetneq \dots$

Natural properties of computer science interest are $PSC(k)$

1. Bounded degree $PSC(0)$
2. G -freeness for any G (eg. triangle-freeness) $PSC(0)$
3. Bounded diameter (eg. cliqueness) $PSC(0)$
4. Vertex cover of size $\leq k$ $PSC(k)$
5. Dominating set of size $\leq k$ $PSC(k)$
6. Independent set of size $\geq k$, clique of size $\geq k$ $PSC(k)$
7. Edge cover of size $\leq k$ $PSC(2k)$
8. Matching of size $\geq k$ $PSC(2k)$

The dual of $PSC(k)$ and some quick observations

Definition

A sentence φ is said to be **preserved under k -ary covered extensions**, abbreviated φ is $PCE(k)$, if $\neg\varphi$ is $PSC(k)$.

- $PCE(0)$ is exactly PE .
- Any $\exists^k\forall^*$ sentence ϕ is $PSC(k)$.
- Whereby, any $\forall^k\exists^*$ sentence is $PCE(k)$.

Question: What about the converses of the last two statements?

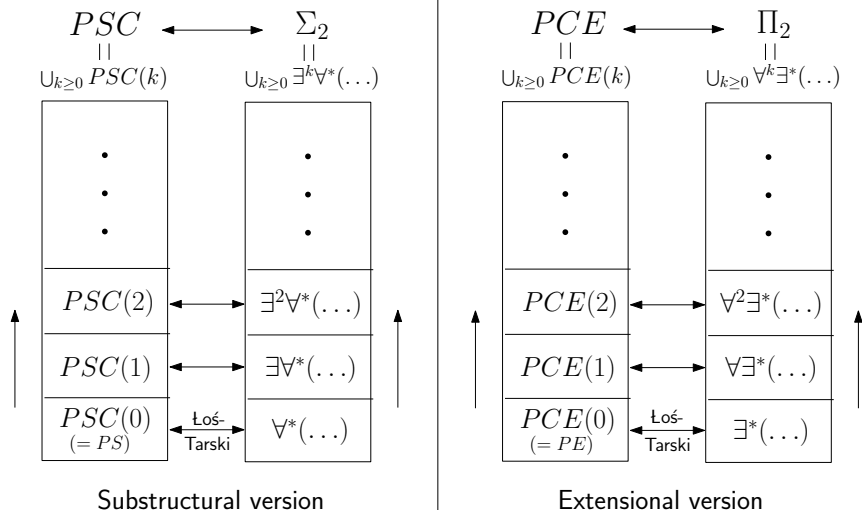
The generalized Łoś-Tarski theorem

The generalized Łoś-Tarski theorem: $GLT(k)$

Theorem ($GLT(k)$)

- 1 A sentence is $PSC(k)$ iff it is equivalent to an $\exists^k \forall^*$ sentence.
- 2 A sentence is $PCE(k)$ iff it is equivalent to a $\forall^k \exists^*$ sentence.

The generalized Łoś-Tarski theorem: $GLT(k)$



Extending $\text{GLT}(k)$ to the case of theories

Extending earlier notions to theories

- A **theory** is a (possibly infinite) set of sentences, and can be understood as the conjunction of its sentences.
- The notions of \forall^* , $\exists^k \forall^*$, \exists^* and $\forall^k \exists^*$ for sentences have natural extensions to theories.
- Likewise, the properties of PS , $PSC(k)$, PE and $PCE(k)$ have natural extensions to theories.

Question: What are characterizations of these extended properties?

Theorem (Łoś-Tarski, 1954-55)

- 1 A theory is *PS* iff it is equivalent to a \forall^* theory.
- 2 A theory is *PE* iff it is equivalent to an \exists^* theory.

Extending $GLT(k)$ to theories

Theorem ($GLT(k)$ for theories)

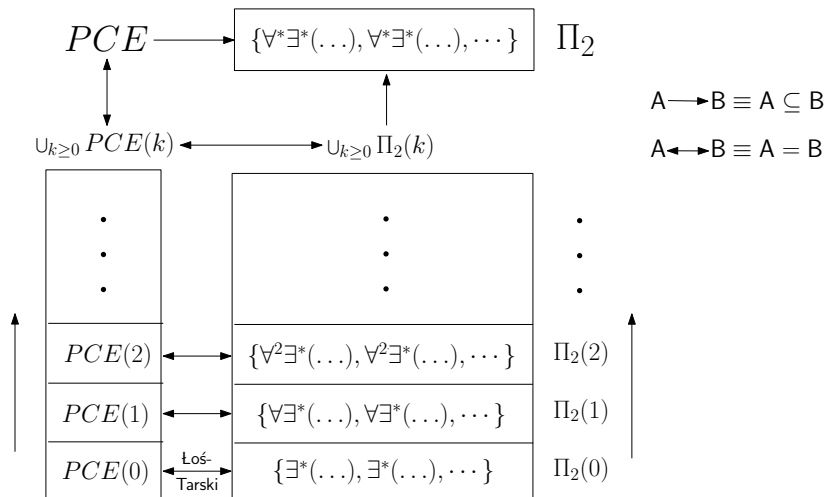
- 1 A theory is $PCE(k)$ iff it is equivalent to a $\forall^k\exists^*$ theory.
- 2 If a theory is $PSC(k)$, then it is equivalent to a Σ_2 theory.

Extending $GLT(k)$ to theories

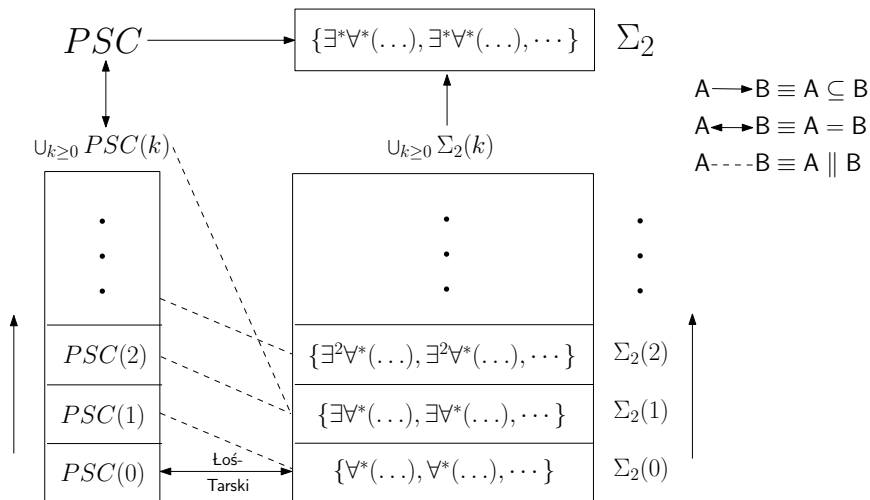
Theorem ($GLT(k)$ for theories)

- 1 A theory is $PCE(k)$ iff it is equivalent to a $\forall^k\exists^*$ theory.
- 2 If a theory is $PSC(k)$, then it is equivalent to an $\exists^k\forall^*$ theory (under a well-motivated model-theoretic hypothesis).

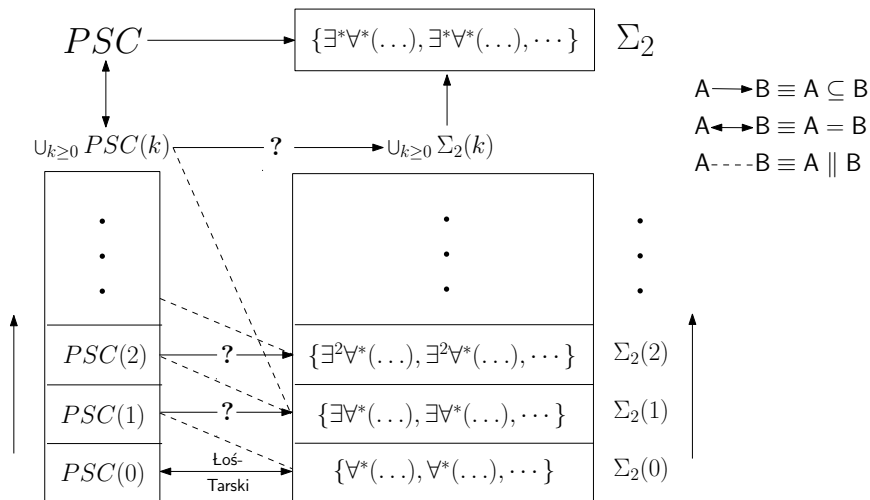
Extensional version of $GLT(k)$ for theories



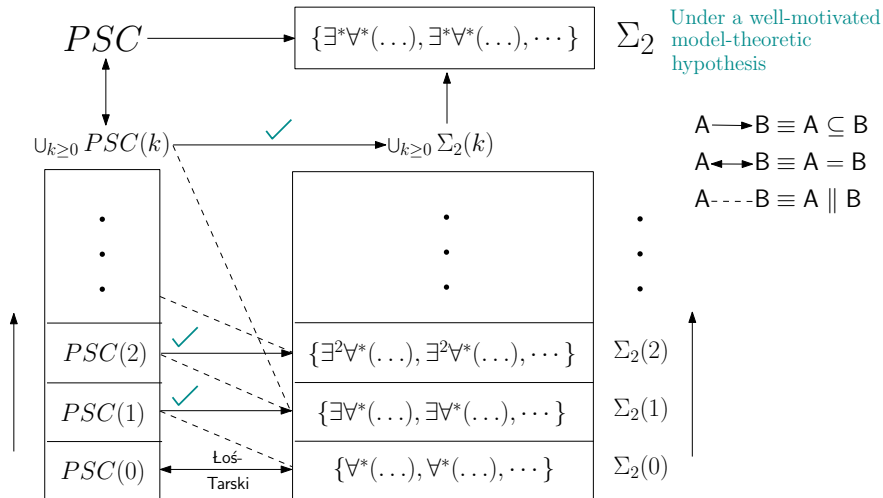
Substructural version of $GLT(k)$ for theories



Substructural version of $GLT(k)$ for theories



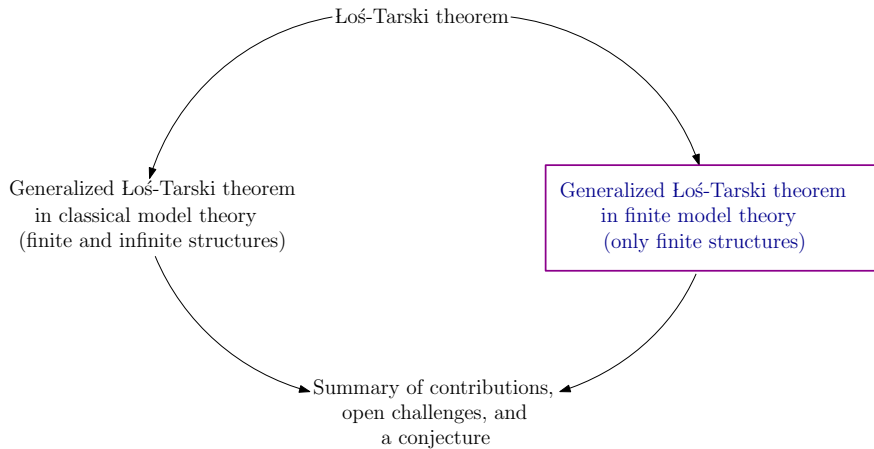
Substructural version of $GLT(k)$ for theories



Techniques used

- Saturated structures
- Unions of ascending chains
- A new technique of “going above” FO and then “coming back”
 - Going above: Use an **infinitary logic** to express the property
 - Coming back: Use a “**compiler result**” to translate an infinitary sentence to an equivalent FO theory

Talk outline



Preservation properties 'over a given class of structures'

Definition

Let \mathcal{U} be a given class of structures. A sentence φ is said to be **preserved under substructures over \mathcal{U}** , abbreviated **φ is PS over \mathcal{U}** , if for each structure \mathcal{A} of \mathcal{U} , we have

$$((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A}))$$

Preservation properties 'over a given class of structures'

Definition

Let \mathcal{U} be a given class of structures. A sentence φ is said to be **preserved under substructures over \mathcal{U}** , abbreviated **φ is PS over \mathcal{U}** , if for each structure \mathcal{A} of \mathcal{U} , we have

$$((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A}) \wedge (\mathcal{B} \in \mathcal{U}))$$

Preservation properties 'over a given class of structures'

Definition

Let \mathcal{U} be a given class of structures. A sentence φ is said to be **preserved under substructures over \mathcal{U}** , abbreviated **φ is PS over \mathcal{U}** , if for each structure \mathcal{A} of \mathcal{U} , we have

$$((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A}) \wedge (\mathcal{B} \in \mathcal{U})) \rightarrow (\mathcal{B} \models \varphi).$$

Preservation properties 'over a given class of structures'

Definition

Let \mathcal{U} be a given class of structures. A sentence φ is said to be **preserved under substructures over \mathcal{U}** , abbreviated **φ is PS over \mathcal{U}** , if for each structure \mathcal{A} of \mathcal{U} , we have

$$((\mathcal{A} \models \varphi) \wedge (\mathcal{B} \subseteq \mathcal{A}) \wedge (\mathcal{B} \in \mathcal{U})) \rightarrow (\mathcal{B} \models \varphi).$$

- One can similarly define the preservation properties of *PE*, *PSC*(k) and *PCE*(k) **over a class \mathcal{U}** of structures.
- One can then talk about preservation theorems *over \mathcal{U}* .
- All results seen so far have been over the class of **all** structures.

What happens in the finite?

LT in the finite

Proposition (Tait 1959, Gurevich-Shelah 1984)

LT fails over the class \mathcal{U} of all finite structures. There is a sentence that is *PS* over \mathcal{U} but is not equivalent, over \mathcal{U} , to any \forall^* sentence.

Theorem (Atserias-Dawar-Grohe, 2008)

The LT holds over each of the following classes of graphs:

- 1 The class of all acyclic graphs.
- 2 The class of all graphs of degree $\leq d$, for each $d \in \mathbb{N}$.
- 3 The class of all graphs of tree-width $\leq d$, for each $d \in \mathbb{N}$.

GLT(k) in the finite

Proposition

GLT(k) fails over the class \mathcal{U} of all finite structures, for each $k \geq 0$.

Proposition

GLT(k) fails over any hereditary class of finite graphs that has unbounded diameter, for each $k \geq 2$.

Summary: Over the classes identified by Atserias, Dawar and Grohe, LT holds but GLT(k) fails for each $k \geq 2$.

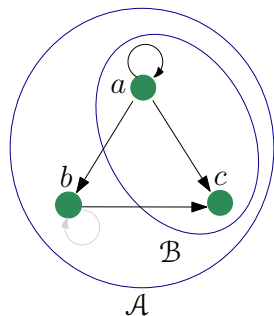
Investigating new classes of finite structures for $\text{GLT}(k)$

Can we identify structural properties (possibly abstract) of classes of finite structures, that are satisfied by interesting classes, and that admit $\text{GLT}(k)$?

Investigating new classes of finite structures for $\text{GLT}(k)$

Can we identify structural properties (possibly abstract) of classes of finite structures, that are satisfied by interesting classes, and that admit $\text{GLT}(k)$? And further, in effective form?

m -similarity of structures



\mathcal{A} and \mathcal{B} are 1-similar, but not 2-similar.

We say graphs \mathcal{G} and \mathcal{H} are m -similar, if \mathcal{G} and \mathcal{H} agree on all properties that can be expressed using FO sentences having quantifier nesting depth m .

A new logic based combinatorial property

The Equivalent Bounded Substructure Property

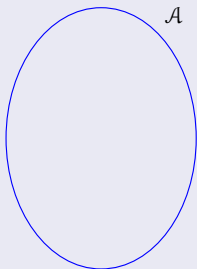
Definition

We say **EBSP** holds if

The Equivalent Bounded Substructure Property

Definition

We say **EBSP** holds if



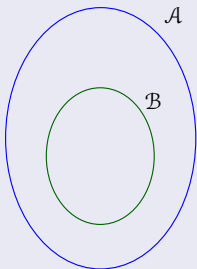
$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$



The Equivalent Bounded Substructure Property

Definition

We say **EBSP** holds if



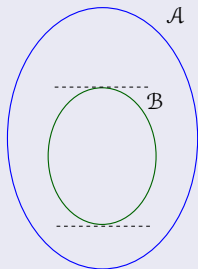
$$\forall \mathcal{A} \quad \forall m \in \mathbb{N} \\ \exists \mathcal{B} \subseteq \mathcal{A}$$



The Equivalent Bounded Substructure Property

Definition

We say **EBSP** holds if



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

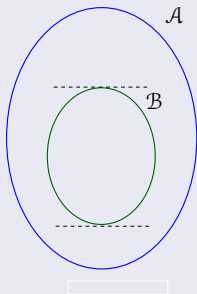
$$\exists \mathcal{B} \subseteq \mathcal{A}$$

(i) the size of \mathcal{B} is bounded in m

The Equivalent Bounded Substructure Property

Definition

We say **EBSP** holds if



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

$$\exists \mathcal{B} \subseteq \mathcal{A}$$

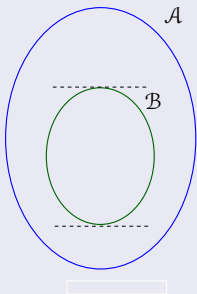
- (i) the size of \mathcal{B} is bounded in m
- (ii) \mathcal{B} is m -similar to \mathcal{A}



The Equivalent Bounded Substructure Property

Definition

We say **EBSP** holds if



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

$$\exists \mathcal{B} \subseteq \mathcal{A}$$

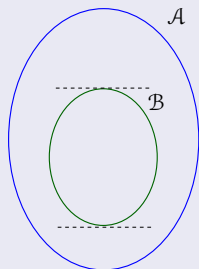
- (i) the size of \mathcal{B} is bounded in m
- (ii) \mathcal{B} is m -similar to \mathcal{A}

“ \mathcal{A} has a small m -similar substructure”

The Equivalent Bounded Substructure Property

Definition

We say **EBSP** holds if there exists a **witness function** $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



- $\forall \mathcal{A} \quad \forall m \in \mathbb{N}$
 $\exists \mathcal{B} \subseteq \mathcal{A}$
(i) $|\mathcal{B}| \leq \theta(m)$ and
(ii) \mathcal{B} is m -similar to \mathcal{A}



“ \mathcal{A} has a small m -similar substructure”

The Equivalent Bounded Substructure Property

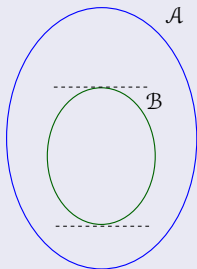
Definition

Given $k \in \mathbb{N}$, we say $\text{EBSP}(k)$ holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that

The Equivalent Bounded Substructure Property

Definition

Given $k \in \mathbb{N}$, we say **EBSP(k)** holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



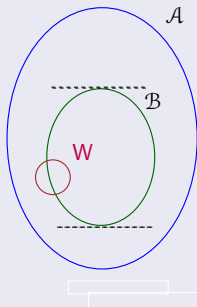
- $$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$
- $$\exists \mathcal{B} \subseteq \mathcal{A}$$
- (i) $|\mathcal{B}| \leq \theta(m)$ and
 - (ii) \mathcal{B} is m -similar to \mathcal{A}



The Equivalent Bounded Substructure Property

Definition

Given $k \in \mathbb{N}$, we say **EBSP(k)** holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

$$\forall W \subseteq U_{\mathcal{A}} \text{ such that } |W| \leq k$$

$$\exists \mathcal{B} \subseteq \mathcal{A}$$

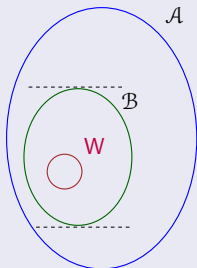
- (i) $|\mathcal{B}| \leq \theta(m)$ and
- (ii) \mathcal{B} is m -similar to \mathcal{A}



The Equivalent Bounded Substructure Property

Definition

Given $k \in \mathbb{N}$, we say **EBSP(k)** holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

$$\forall W \subseteq U_{\mathcal{A}} \text{ such that } |W| \leq k$$

$$\exists \mathcal{B} \subseteq \mathcal{A}$$

(i) \mathcal{B} contains W

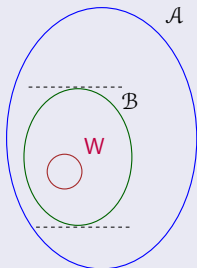
(ii) $|\mathcal{B}| \leq \theta(m)$ and

(iii) \mathcal{B} is m -similar to \mathcal{A}

The Equivalent Bounded Substructure Property

Definition

Given $k \in \mathbb{N}$, we say **EBSP(k)** holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

$$\forall W \subseteq \mathcal{U}_{\mathcal{A}} \text{ such that } |W| \leq k$$

$$\exists \mathcal{B} \subseteq \mathcal{A}$$

(i) \mathcal{B} contains W

(ii) $|\mathcal{B}| \leq \theta(m)$ and

(iii) \mathcal{B} is m -similar to \mathcal{A}

“ $\forall W \subseteq_k \mathcal{U}_{\mathcal{A}}$, \mathcal{A} has a small m -similar substructure around W ”

The Equivalent Bounded Substructure Property

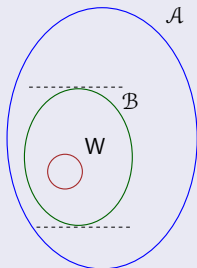
Definition

Given a class \mathcal{S} of structures and $k \in \mathbb{N}$, we say $\text{EBSP}(\mathcal{S}, k)$ holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that

The Equivalent Bounded Substructure Property

Definition

Given a class \mathcal{S} of structures and $k \in \mathbb{N}$, we say **EBSP**(\mathcal{S}, k) holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



$$\forall \mathcal{A} \quad \forall m \in \mathbb{N}$$

$$\forall W \subseteq U_{\mathcal{A}} \text{ such that } |W| \leq k$$

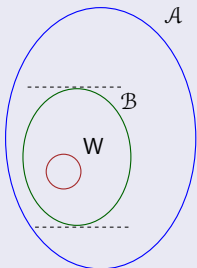
$$\exists \mathcal{B} \subseteq \mathcal{A}$$

- (i) \mathcal{B} contains W
- (ii) $|\mathcal{B}| \leq \theta(m)$ and
- (iii) \mathcal{B} is m -similar to \mathcal{A}

The Equivalent Bounded Substructure Property

Definition

Given a class \mathcal{S} of structures and $k \in \mathbb{N}$, we say $\text{EBSP}(\mathcal{S}, k)$ holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that



$$\forall \mathcal{A} \in \mathcal{S} \quad \forall m \in \mathbb{N}$$

$$\forall W \subseteq U_{\mathcal{A}} \text{ such that } |W| \leq k$$

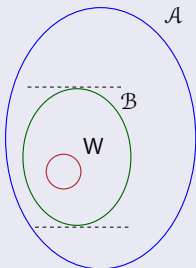
$$\exists \mathcal{B} \subseteq \mathcal{A}$$

- (i) $\mathcal{B} \in \mathcal{S}$
- (ii) \mathcal{B} contains W
- (ii) $|\mathcal{B}| \leq \theta(m)$ and
- (iii) \mathcal{B} is m -similar to \mathcal{A}

The Equivalent Bounded Substructure Property

Definition

Given a class \mathcal{S} of structures and $k \in \mathbb{N}$, we say **EBSP**(\mathcal{S}, k) holds if there is a witness function $\theta : \mathbb{N} \rightarrow \mathbb{N}$ such that

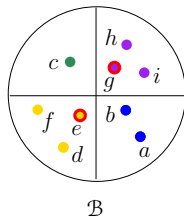
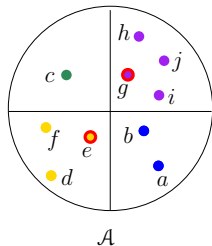


- $$\forall \mathcal{A} \in \mathcal{S} \quad \forall m \in \mathbb{N}$$
- $$\forall W \subseteq \mathcal{U}_{\mathcal{A}} \text{ such that } |W| \leq k$$
- $$\exists \mathcal{B} \subseteq \mathcal{A}$$
- (i) $\mathcal{B} \in \mathcal{S}$
 - (ii) \mathcal{B} contains W
 - (ii) $|\mathcal{B}| \leq \theta(m)$ and
 - (iii) \mathcal{B} is m -similar to \mathcal{A}

“ $\forall W \subseteq_k \mathcal{U}_{\mathcal{A}}$, \mathcal{A} has a small m -similar substructure around W ” – over \mathcal{S}

Example for $\text{EBSP}(\mathcal{S}, k)$

$k = 2$
 $m = 2$
 $W = \{b, i\}$

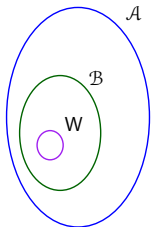


- (i) $\mathcal{B} \subseteq \mathcal{A}$
- (ii) $W \subseteq U_{\mathcal{B}}$
- (iii) $|\mathcal{B}| \leq m \cdot 4 + k$
 $= 10$
- (iv) \mathcal{B} is 2-similar to \mathcal{A}

- $\text{EBSP}(\mathcal{S}, k)$ holds with the witness function given by
 $\theta(m) = 4m + k$.

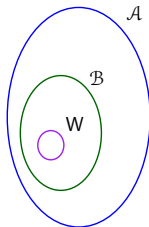
EBSP(\mathcal{S}, k) – a finitary analogue of the downward Löwenheim-Skolem property

DLSP(κ)



- $\forall \mathcal{A}$
 $\forall W \subseteq U_{\mathcal{A}}$ such that $|W| \leq \kappa$
 $\exists \mathcal{B}$
(i) $\mathcal{B} \subseteq \mathcal{A}$ (ii) \mathcal{B} contains W
(iii) \mathcal{B} has size at most κ , and
(iv) \mathcal{B} is FO-similar to \mathcal{A}

EBSP(\mathcal{S}, k) for a fixed m



There is a natural number p such that

- $\forall \mathcal{A} \in \mathcal{S}$
 $\forall W \subseteq U_{\mathcal{A}}$ such that $|W| \leq k$
 $\exists \mathcal{B} \in \mathcal{S}$
(i) $\mathcal{B} \subseteq \mathcal{A}$ (ii) \mathcal{B} contains W
(iii) \mathcal{B} has size at most p , and
(iv) \mathcal{B} is m -similar to \mathcal{A}

EBSP(\mathcal{S}, k) and GLT(k)

Theorem

Let \mathcal{S} be a class of finite structures and $k \in \mathbb{N}$ be such that EBSP(\mathcal{S}, k) holds. Then the following are true:

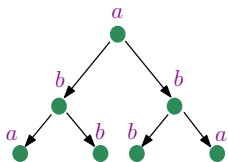
- GLT(k) holds over \mathcal{S} .
- If there is a computable witness function for EBSP(\mathcal{S}, k), then there is an algorithm that translates a given $PSC(k)/PCE(k)$ sentence to an equivalent $\exists^k \forall^* / \forall^k \exists^*$ sentence.

Classes that satisfy $\text{EBSP}(\cdot, k)$

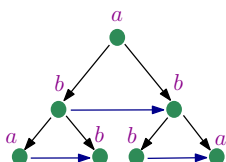
Posets satisfying $\text{EBSP}(\cdot, k)$

Words and trees (unordered, ordered, ranked)

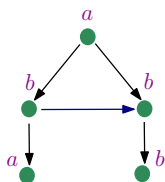
- Classically studied structures



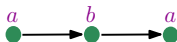
Unordered Σ -tree
 $\Sigma = \{a, b\}$



Ordered Σ -tree
 $\Sigma = \{a, b\}$



Ordered Σ -tree ranked by ρ
 $\Sigma = \{a, b\}$; $\rho = \{a \rightarrow 2, b \rightarrow 1\}$



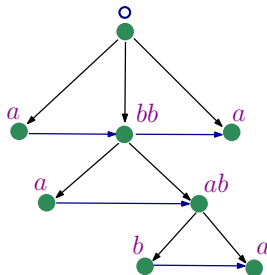
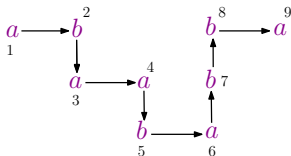
Σ -word
 $\Sigma = \{0, 1\}$

Nested words

- Introduced by Alur and Madhusudan in 2004 as joint generalization of words and ordered unranked trees.

$W = (abaababba \rightsquigarrow)$

$\rightsquigarrow = \{(2, 8), (4, 7)\}$



Regular languages of words, trees and nested words

A **regular language** of words/trees/nested words is a class of words/trees/nested words that can be recognized by a finite **word/tree/nested word automaton**.

Theorem

Let \mathcal{S} be a regular language of words, trees (unordered, ordered or ranked) or nested words. For each k , $\text{EBSP}(\mathcal{S}, k)$ holds with a computable witness function.

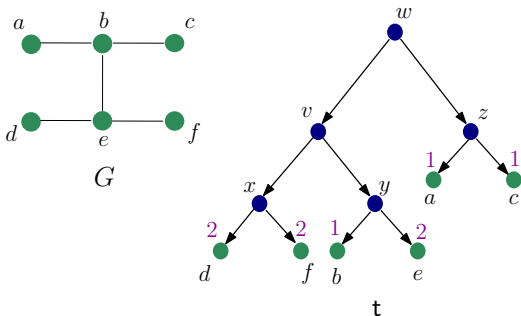
Graphs satisfying $\text{EBSP}(\cdot, k)$

m -partite cographs

- Hliněný, Nešetřil, et al. introduced in 2012, the class of m -partite cographs.

m -partite cographs

- Hliněný, Nešetřil, et al. introduced in 2012, the class of m -partite cographs.
- An m -partite cograph G is a graph that has an m -partite cotree representation t :



$$\begin{aligned} \text{Label set} &= \{1, 2\} \\ f_x &= f_z = 0 \\ f_y &= 1 \\ f_v(2, 2) &= 1, \text{ else } 0 \\ f_w(1, 1) &= 1, \text{ else } 0 \end{aligned}$$

Important subclasses of m -partite cographs

- **Cographs** (1-partite cographs): complete graphs, complete k -partite graphs, threshold graphs, etc.
- **Bounded tree-depth** graphs
- **Bounded shrub-depth** graphs

All of the above classes are of **active current interest** for their excellent **algorithmic** and **logical** properties!

m -partite cographs and its subclasses satisfy $\text{EBSP}(\cdot, k)$

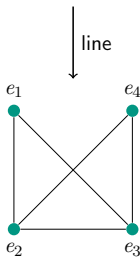
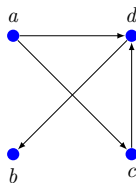
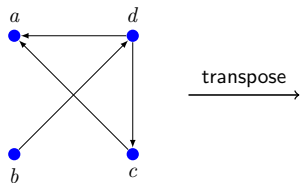
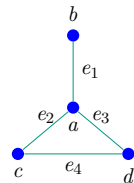
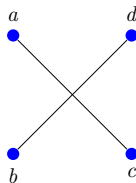
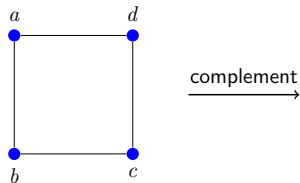
Theorem

Let \mathcal{S} be a hereditary subclass of any of the following graph classes. For each k , $\text{EBSP}(\mathcal{S}, k)$ holds with a computable witness function.

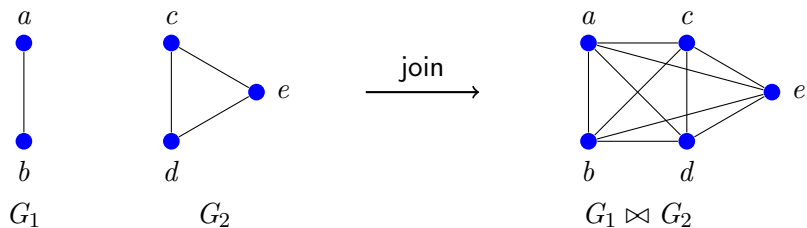
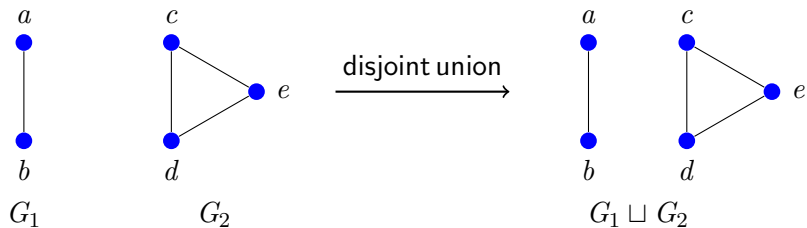
- 1 the class of m -partite cographs
- 2 any graph class of bounded shrub-depth
- 3 any graph class of bounded tree-depth
- 4 the class of cographs

Constructing new classes satisfying $\text{EBSP}(\cdot, k)$

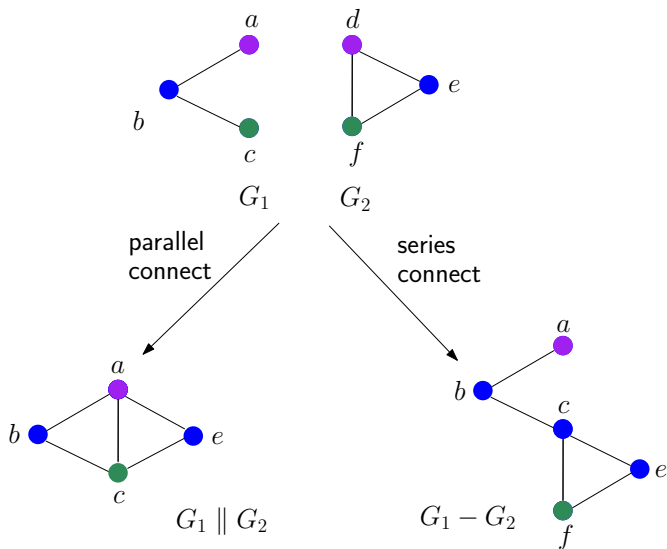
Unary operations on structures



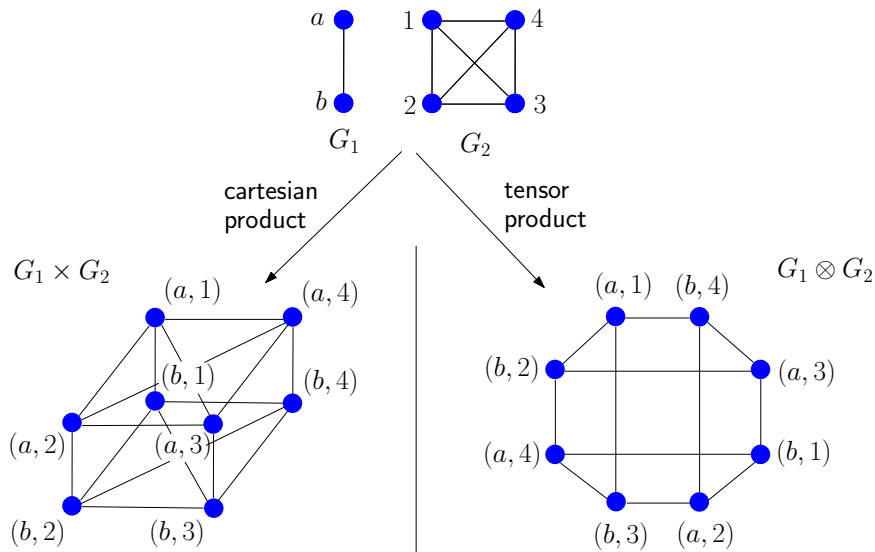
Binary operations on structures



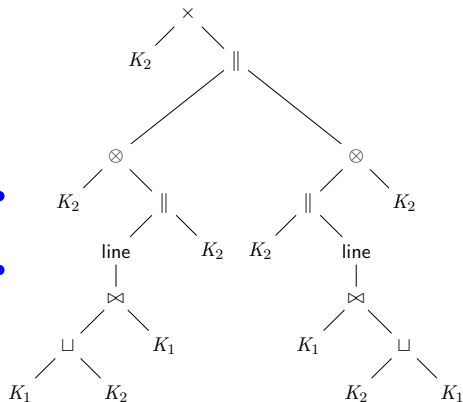
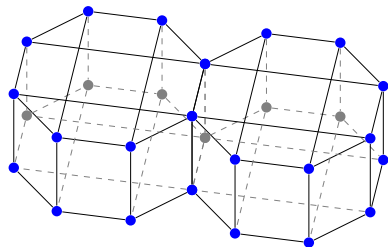
Binary operations on structures



Binary operations on structures



Generating graphs using trees of operations



K_1 = single vertex; K_2 = single edge

Closure of $\text{EBSP}(\cdot, \cdot)$ under unary operations

Theorem

Given a class \mathcal{S} , let \mathcal{Z} be any one of the following classes.

- 1 Complement(\mathcal{S})
- 2 Transpose(\mathcal{S})
- 3 Line(\mathcal{S})

Closure of $\text{EBSP}(\cdot, \cdot)$ under unary operations

Theorem

Given a class \mathcal{S} , let \mathcal{Z} be any one of the following classes.

- 1 Complement(\mathcal{S})
- 2 Transpose(\mathcal{S})
- 3 Line(\mathcal{S})

Then the following are true:

- $\text{EBSP}(\mathcal{S}, k) \rightarrow \text{EBSP}(\mathcal{Z}, k)$.

Closure of $\text{EBSP}(\cdot, \cdot)$ under unary operations

Theorem

Given a class \mathcal{S} , let \mathcal{Z} be any one of the following classes.

- 1 Complement(\mathcal{S})
- 2 Transpose(\mathcal{S})
- 3 Line(\mathcal{S})

Then the following are true:

- $\text{EBSP}(\mathcal{S}, k) \rightarrow \text{EBSP}(\mathcal{Z}, k)$.
- If $\text{EBSP}(\mathcal{S}, k)$ holds with a computable witness function, then so does $\text{EBSP}(\mathcal{Z}, k)$.

Closure of $\text{EBSP}(\cdot, \cdot)$ under binary operations

Theorem

Given classes \mathcal{S}_1 and \mathcal{S}_2 , let \mathcal{Z} be any one of the following classes.

1. Disjoint-union($\mathcal{S}_1, \mathcal{S}_2$)
2. Join($\mathcal{S}_1, \mathcal{S}_2$)
3. Series-connect($\mathcal{S}_1, \mathcal{S}_2$)
4. Parallel-connect($\mathcal{S}_1, \mathcal{S}_2$)
5. Cartesian-product($\mathcal{S}_1, \mathcal{S}_2$)
6. Tensor-product($\mathcal{S}_1, \mathcal{S}_2$)

Closure of $\text{EBSP}(\cdot, \cdot)$ under binary operations

Theorem

Given classes \mathcal{S}_1 and \mathcal{S}_2 , let \mathcal{Z} be any one of the following classes.

- | | |
|--|---|
| 1. Disjoint-union($\mathcal{S}_1, \mathcal{S}_2$) | 2. Join($\mathcal{S}_1, \mathcal{S}_2$) |
| 3. Series-connect($\mathcal{S}_1, \mathcal{S}_2$) | 4. Parallel-connect($\mathcal{S}_1, \mathcal{S}_2$) |
| 5. Cartesian-product($\mathcal{S}_1, \mathcal{S}_2$) | 6. Tensor-product($\mathcal{S}_1, \mathcal{S}_2$) |

The following are true:

- $(\text{EBSP}(\mathcal{S}_1, k) \wedge \text{EBSP}(\mathcal{S}_2, k)) \rightarrow \text{EBSP}(\mathcal{Z}, k)$ if \mathcal{Z} in 1-4.
- $(\text{EBSP}(\mathcal{S}_1, 2k) \wedge \text{EBSP}(\mathcal{S}_2, 2k)) \rightarrow \text{EBSP}(\mathcal{Z}, k)$ if \mathcal{Z} in 5-6.

Closure of $\text{EBSP}(\cdot, \cdot)$ under binary operations

Theorem

Given classes \mathcal{S}_1 and \mathcal{S}_2 , let \mathcal{Z} be any one of the following classes.

- | | |
|--|---|
| 1. Disjoint-union($\mathcal{S}_1, \mathcal{S}_2$) | 2. Join($\mathcal{S}_1, \mathcal{S}_2$) |
| 3. Series-connect($\mathcal{S}_1, \mathcal{S}_2$) | 4. Parallel-connect($\mathcal{S}_1, \mathcal{S}_2$) |
| 5. Cartesian-product($\mathcal{S}_1, \mathcal{S}_2$) | 6. Tensor-product($\mathcal{S}_1, \mathcal{S}_2$) |

The following are true:

- $(\text{EBSP}(\mathcal{S}_1, k) \wedge \text{EBSP}(\mathcal{S}_2, k)) \rightarrow \text{EBSP}(\mathcal{Z}, k)$ if \mathcal{Z} in 1-4.
- $(\text{EBSP}(\mathcal{S}_1, 2k) \wedge \text{EBSP}(\mathcal{S}_2, 2k)) \rightarrow \text{EBSP}(\mathcal{Z}, k)$ if \mathcal{Z} in 5-6.

Further, if the conjuncts in the antecedent have computable witness functions, then so does the consequent.

Techniques used to prove $\text{EBSP}(\cdot, k)$ for a class

- **Key observation:** Each of the structures \mathcal{A} seen so far has a **tree representation** $t_{\mathcal{A}}$.
- We perform “**prunings**” and “**graftings**” in $t_{\mathcal{A}}$ that **preserve the substructure and m -similarity relation** between the newly formed subtree and $t_{\mathcal{A}}$.
- We eventually get a small subtree of $t_{\mathcal{A}}$ representing a small m -similar substructure of \mathcal{A} .
- Key technical features making the method work:
 - Finite number of different “ m -similarity types”
 - Composition properties
- The techniques above have been incorporated into a **single abstract theorem concerning trees**.

Motivating question revisited

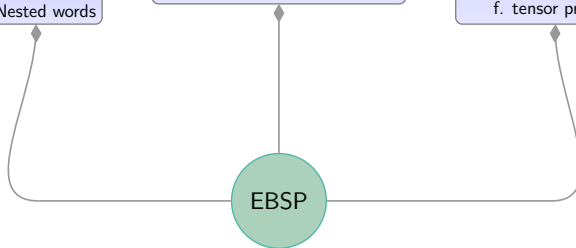
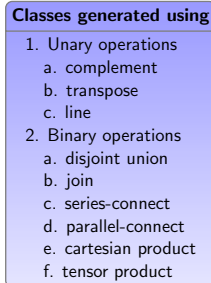
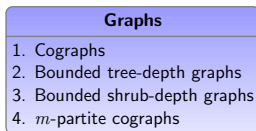
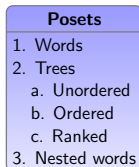
Can we identify structural properties (possibly abstract) of classes of finite structures, that are satisfied by interesting classes, and that admit $\text{GLT}(k)$?

Motivating question revisited

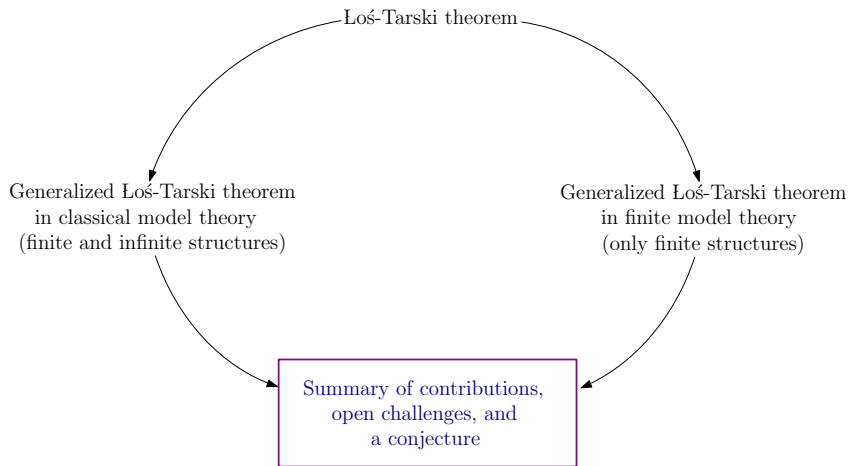
Can we identify structural properties (possibly abstract) of classes of finite structures, that are satisfied by interesting classes, and that admit $\text{GLT}(k)$? And further, in effective form?

An answer to the motivating question

All these classes satisfy
 $GLT(k)$ in **effective form** for all k !



Talk outline



Contributions to classical model theory

- **Notions:** $PSC(k)$ and $PCE(k)$
 - Admit natural variants that capture prenex FO sentences with n quantifier blocks
 - Are finitary and combinatorial in nature, and stay non-trivial over finite structures
- **Results:** $GLT(k)$
 - provides new and finer characterizations of Σ_2 and Π_2
 - relates counts of quantifiers to model-theoretic properties
 - can contribute to a keener understanding of the inner structure of model theory (extending Wilfrid Hodges' observation about the role of preservation theorems in model theory)
- **Techniques:** A new technique of syntactically describing a property in FO, by “going above” FO and then “coming back”.

Contributions to finite model theory

- **Notions:** $\text{EBSP}(\mathcal{S}, k)$
 - Strong connections to classical model theory
 - Strong connections to computer science
 - Admits several natural variants
- **Results:**
 - Strengthening the result showing the failure of Łoś-Tarski theorem in the finite
 - A preservation theorem ($\text{GLT}(k)$) that enforces structural conditions
 - Characterizing prenex FO sentences with two quantifier blocks
 - Identifying a wide spectrum of classes of finite structures that are “well-behaved” model-theoretically
 - Relating the property of well-quasi-ordering with logic
- **Techniques:** An abstract theorem concerning tree representations

Open questions

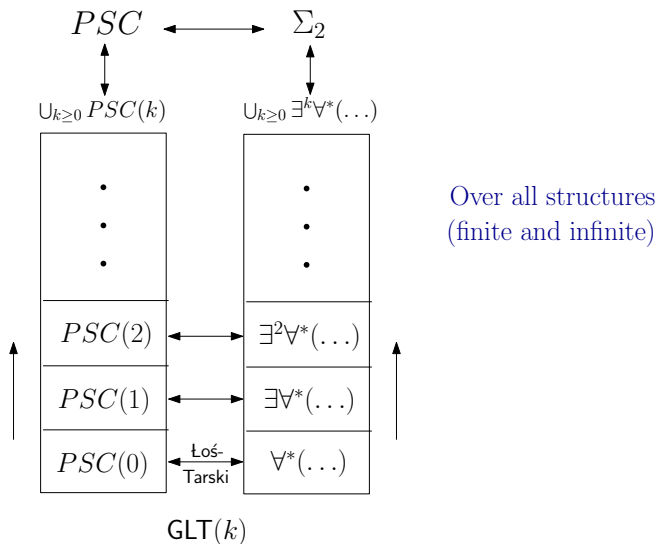
A. Classical model theory

- Getting an **unconditional characterization** of $PSC(k)$ theories

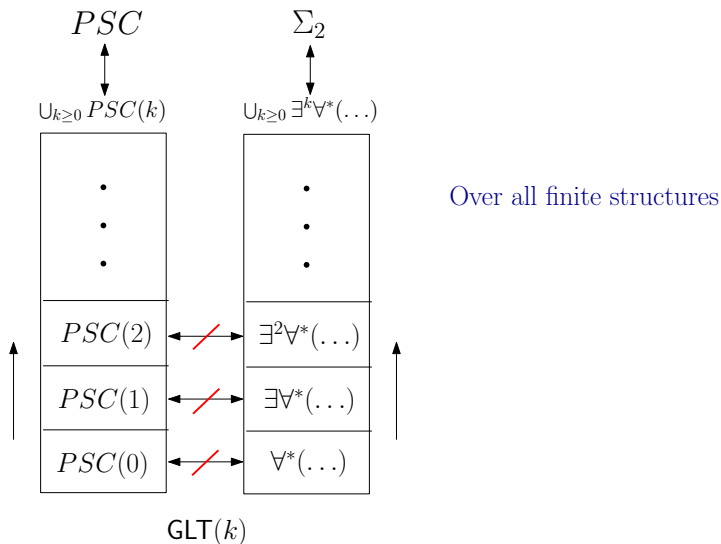
B. Finite model theory (questions concerning $EBSP(\mathcal{S}, k)$)

- [**Model-theoretic**] **Other theorems of classical model theory** that are entailed by $EBSP(\mathcal{S}, k)$ (Lyndon's positivity theorem, Craig's interpolation theorem, etc.)
- [**Graph-theoretic**] **Structural characterization** of $EBSP(\cdot, k)$. If not in general, under reasonable assumptions?
- [**Probabilistic**] Classes that satisfy the EBSP condition “**with high probability**”.

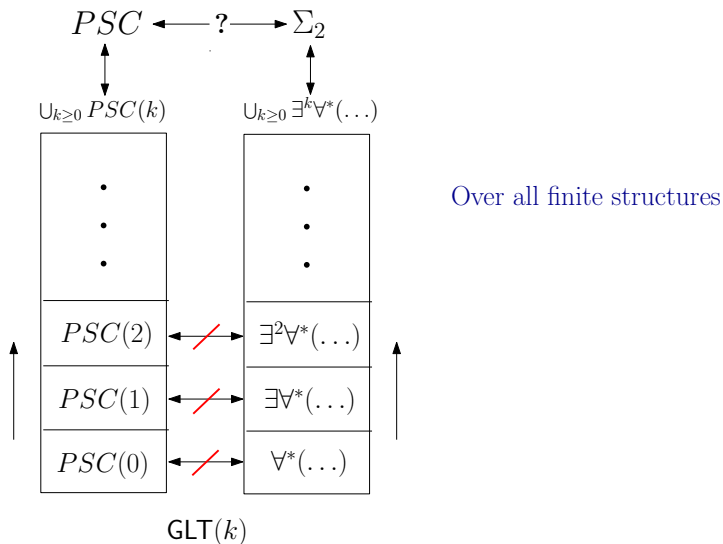
A conjecture



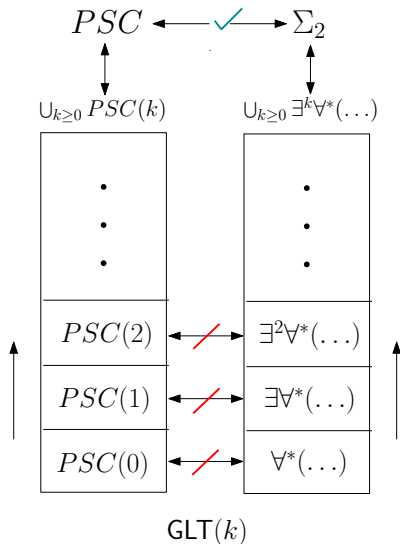
A conjecture



A conjecture



A conjecture






Over all finite structures

Conjecture:

$$PSC = \Sigma_2$$

Dhanyavād!

References

-  A. Sankaran, B. Adsul and S. Chakraborty, *A Generalization of the Łoś-Tarski Preservation Theorem*, accepted for publication in the *Annals of Pure and Applied Logic*.
-  A. Sankaran, B. Adsul and S. Chakraborty, *A Generalization of the Łoś-Tarski Preservation Theorem over Classes of Finite Structures*, MFCS 2014, Springer, pp. 474-485.
-  A. Sankaran, B. Adsul, V. Madan, P. Kamath and S. Chakraborty, *Preservation under Substructures modulo Bounded Cores*, WoLLIC 2012, Springer, pp. 291-305.